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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Takenori Kohda et al.

Docket No.:JP920000363US1

Serial No.: 09/683,794

Group Art Unit: 2152

Filed: February 15, 2002

Examiner:

For: DIGITAL DOCUMENT BROWSING  
SYSTEM AND METHOD THEREOF

Assistant Commissioner for Patents  
Washington, D.C. 20231

COPY OF PAPERS  
ORIGINALLY FILED

**TRANSMITTAL LETTER**

Sir:

Being filed herewith are copies of the following:

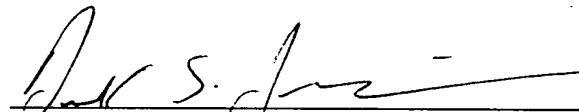
- A) Notice to File Missing Parts of Non-Provisional Application
- B) Transmittal Letter
- C) Deposit Account Authorization Form
- D) Declaration and Power of Attorney
- E) Recordation Form Cover Sheet
- F) Assignment to IBM
- G) Submission of Priority Document
- H) Certified Japan Priority Application No. 2001-039150
- I) Acknowledgment Postcard

The above items are being deposited with the United States Postal Service as first class mail in an envelope addressed to:

**Assistant Commissioner for Patents  
Washington, D.C. 20231**

In the event any additional extensions of time are required, please treat this paper as a petition to extend the time as required and charge Deposit Account No. 09-0468.

Respectfully submitted,



Derek S. Jennings - Date March 18, 2002  
Reg. Patent Agent/Engineer  
Reg. No. 41,473  
Tel. No. (914) 945-2144

IBM Corporation  
Intellectual Property Law Dept.  
P.O. Box 218  
Yorktown Heights, New York 10598



**UNITED STATES PATENT AND TRADEMARK OFFICE**

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/683,794	02/15/2002	Takenori Kohda	JP920000363

00877  
IBM CORPORATION, T.J. WATSON RESEARCH CENTER  
P.O. BOX 218  
YORKTOWN HEIGHTS, NY 10598

**CONFIRMATION NO. 2202**

**FORMALITIES LETTER**



\*OC00000007598485\*

Date Mailed: 03/07/2002

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

**FILED UNDER 37 CFR 1.53(b)**

***Filing Date Granted***

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An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



#4

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: Takenori Kohda et al.

Date: March 18, 2002

Serial No.: 09/683,794

Docket No.: JP920000363US1

Filed: February 15, 2002

Group Art Unit: 2152

For: **DIGITAL DOCUMENT BROWSING SYSTEM  
AND METHOD THEREOF**

Assistant Commissioner for Patents  
Washington, D.C. 20231

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**SUBMISSION OF PRIORITY DOCUMENT**

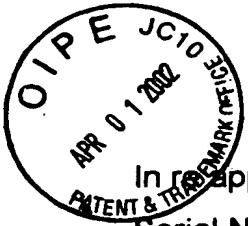
Sir:

Enclosed herewith is a certified copy of Japanese Application No. 2001-039150 filed February 15, 2001, in support of applicant's claim to priority under 35 U.S.C. 119.

Respectfully submitted,

Derek S. Jennings  
Reg. Patent Agent/Engineer  
Reg. No. 41,473  
(914) 945-2144

IBM CORPORATION  
Intellectual Property Law Dept.  
P. O. Box 218  
Yorktown Heights, N. Y. 10598



A3  
VP/H

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: Takenori Kohda et al.

Docket No.: JP920000363US1

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Filed: February 15, 2002

Examiner:

For: **DIGITAL DOCUMENT BROWSING  
SYSTEM AND METHOD THEREOF**

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Assistant Commissioner for Patents  
Washington, D.C. 20231

**CERTIFICATE OF MAILING UNDER 37 CFR 1.8 (a)**

I hereby certify that the attached correspondence comprising:

Notice To File Missing Parts Of Nonprovisional Application  
Transmittal Letter  
Deposit Account Authorization Form  
Declaration and Power of Attorney  
Recordation Form Cover Sheet  
Assignment to IBM  
Submission of Priority Document  
Certified Japan Priority Application No. 2001-039150  
Acknowledgment Postcard

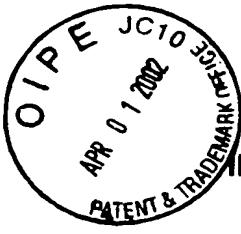
is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

**Assistant Commissioner for Patents  
Washington, D.C. 20231**

on March 18, 2002

**Maureen Gander**  
(Type or printed name of person mailing paper or fee)

**(Signature of person mailing paper or fee)**



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Takenori Kohda et al.  
Serial No.: 09/683,794  
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Date: March 18, 2002  
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Examiner:

Assistant Commissioner for Patents  
Washington, DC 20231

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**DEPOSIT ACCOUNT AUTHORIZATION FORM**

Sir:

In response to the Notice to File Missing Parts of Non-Provisional Application dated March 7, 2002, this is authorization to charge the fee of \$130.00 for filing the Declaration and Power of Attorney for the above-subject patent application to Deposit Account No. 09-0468, including any readjustment thereof.

Respectfully submitted,

Derek S. Jennings  
Reg. Patent Agent/Engineer  
Reg. No.: 41, 473  
Tel. No.: (914) 945-2144

IBM Corporation  
Intellectual Property Law Dept.  
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